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**TRANSMITTAL  
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(to be used for all correspondence after initial filing)

Application Number 10/685,166

Filing Date October 14, 2003

First Named Inventor NICKUM, Larry

Art Unit 2611

Examiner Name N/A

Attorney Docket Number P1352US01

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	CUSTOMER NUMBER 24333 by Frank Liebenow, Reg. No. 48,688
Signature	
Date	June 3, 2004

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Typed or printed name	Gayle Bekish		
Signature		Date	06-03-2004

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SERIAL No. 10/685,166

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: NICKUM, Larry

Examiner: N/A

Filed: October 14, 2003

Art Unit: 2611

Docket No.: P1352US01

Title: PERSONAL PREFERRED VIEWING USING ELECTRONIC PROGRAM GUIDE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**STATEMENT FOR INFORMATION DISCLOSURE UNDER  
37 C.F.R. § 1.97 (e)**

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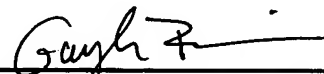
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Gayle Bekish

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FOR WHICH THIS STATEMENT IS BEING MADE**

1. This statement is being made for the Information Disclosure Statement

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☐ Filed \_\_\_\_\_  
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2. I, the person(s) signing below state:

☒ That each item of information contained in the information disclosure statement was cited  
in a communication from a foreign patent office in a counterpart foreign application not  
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**IDENTIFICATION OF PERSON(S) MAKING THIS STATEMENT**

3. The person making this statement is

- a.) ☐ The inventor(s) who signs below
- b.) ☒ a person who is substantively involved in the preparation of prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 C.F.R. § 1.56(c)) and who signs below.

Date: June 3, 2004



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**List of Cited Documents**

**(Japanese Patent Application No. 188013/2000):**

- 1. Japanese Laid-Open Patent Publication No. 10-42235**
- 2. WO 99/04561 (PCT/US98/15093)**

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Substitute for Form 1449A/P7

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet

of

**Complete if Known**

Application Number	10/685.166
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Filing Date	October 14, 2003
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First Named Inventor	NICKUM, Larry
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Art Unit	2611
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Examiner Name	N/A
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Attorney Docket Number	P1352US01
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP Pub 10-42235				
		WO 99/04561	01-28-1999	ALEXANDER et al		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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